



Type of Order: DISCIPLINARY CONSENT ORDER

Date of Order: 12 December 2017

Committee name: INVESTIGATION COMMITTEE

Details of IP: **Mrs Susan Wriglesworth**, of **Glasgow**, an IPA member and Licensed Insolvency Practitioner.

Summary of complaint: This Order is made in relation to a complaint that Mrs Wriglesworth, in her role as Supervisor of an IVA, breached the fundamental principle of Professional Competence and Due Care of the Insolvency Code of Ethics when she;

- a) failed to respond to correspondence from a creditor in a timely manner, and
- b) drew unauthorised remuneration of £300, unapproved expenses of £240 for the review and assessment of potential payment protection insurance (PPI) claims and a £27 monthly software support fee.

Summary of sanctions: Accordingly, Mrs Wriglesworth was found liable to disciplinary action under the IPA's Articles of Association.

The Common Sanctions Guidance provides for a severe reprimand and a fine of £5,000 where there has been a material breach of the fundamental principles of the Insolvency Ethics Code

The sanction imposed takes into account the fact that Mrs Wriglesworth accepted the allegations and repaid the overdrawn amounts to the estate.

The Investigation Committee decided to impose a disciplinary order that Mrs Wriglesworth receive a Reprimand, a fine of £1,000 and pay the associated costs incurred by the IPA.